

SEP 13 2006

FACSIMILE TRANSMITTAL FORM	Application Number	10/685049
	Confirmation Number	5689
	Filing Date	October 14, 2003
	First Named Inventor	Fan, Xudong
	Examiner Name	Chi Q. Nguyen
Fax: 571-273-8300	Attorney Docket Number	58391US002
Total Number of Pages in This Submission: 17		
Date: September 13, 2006 Attorney for Applicant: John M. Bronk		

ENCLOSURES (check all that apply)		
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32692
Customer NumberPatent
Case No.: 58391US002

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

First Named Inventor: FAN, XUDONG

Application No.: 10/685049 Confirmation No.: 5689

Filed: October 14, 2003

Title: HYBRID SPHERE-WAVEGUIDE RESONATORS

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Mail Stop: Amendment
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Signed by: Jessica L. Nolan

Dear Sir:

Pursuant to 37 CFR §§ 1.56, 1.97, and 1.98, enclosed is a completed Form PTO-1449, citing references submitted for consideration by the Examiner. It is respectfully requested that the Examiner initial and return the enclosed Form PTO-1449 to indicate that each reference has been considered.

Copies of any cited foreign patents, foreign publications, non-patent literature documents, and any pending U.S. applications filed before June 30, 2003, are enclosed. Copies of any pending U.S. applications filed after June 30, 2003 that can be accessed on the USPTO's IFW system are not enclosed as per USPTO Waiver dated September 21, 2004. Copies of any U.S. patents and published U.S. patent applications are not enclosed.

If a first Office Action on the merits has been mailed prior to the mailing date of this document, please charge the fee for consideration of an Information Disclosure Statement set forth in 37 CFR § 1.17(p).

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Application No.: 10/685049Case No.: 58391US002**Fees:**

Please charge the fee provided in 37 CFR § 1.17(p) to Deposit Account No. 13-3723.
One copy of this sheet marked duplicate is also enclosed.

Any required fee will be paid at the time of EFS-Web submission.

If necessary, charge any required fee, or credit any overpayment to Deposit Account No. 13-3723.

Respectfully submitted,

09/13/2006
Date

By: 
John M. Bronk, Reg. No.: 58,441
Telephone No.: 651-733-8383

Office of Intellectual Property Counsel
3M Innovative Properties Company
Facsimile No.: 651-736-3833

SEP 13 2006

Substitute for form 1449A/PTO (modified)		Application Number	10/685049
INFORMATION DISCLOSURE STATEMENT BY APPLICANT		Filing Date	October 14, 2003
(Use as many sheets as necessary)		First Named Inventor	Fan, Xudong
Page 1 of 1		Confirmation Number	5689
		Attorney Case Number	58391US002

U.S. Patent Documents					
Exam. Init.*	Cite No.	Document Number Doc. Number-(Kind Code If Known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
	A1	US- 6,901,101 B2	05-31-2005	Frick	
	A2	US- 2003/0082237 A1	05-01-2003	Cha et al.	
	A3	US-			
	A4	US-			
	A5	US-			
	A6	US-			
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	B1				
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OTHER DOCUMENTS					
Exam. Init.*	Cite No.	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published			Translation (Check if yes)
	C1	CHAN, S., et al. "Porous Silicon Microcavities for Biosensing Applications," <i>Physical Status Solid</i> , Vol. 182, (2000) pp. 541-546.			
	C2	DE STEFANO, L., et al., "Optical Sensing of Flammable Substances Using Porous Silicon Microcavities," <i>Materials Science and Engineering</i> , Vol. 100, July 25, 2003, pp. 271-274.			
	C3	MULLONI, V., et al. "Porous Silicon Microcavities as Optical Chemical Sensors," <i>Applied Physics Letters</i> , Vol. 76, No. 18, May 1, 2000, pp. 2523-2525.			

*Examiner:	Date Considered:
EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.	